

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

V98-1054

First Inventor or Application Identifier

Ernest A. Voisin

Title

"A Process of Elimination of Bacteria."

Express Mail Label No.

APPLICATION ELEMENTS

MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

\* Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages 18]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

4. ☒ Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered to be part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☐ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 14. ☒ \* Small Entity Statement(s) ☐ Statement filed in prior application,  
(PTO/SB/09-12) Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Other: .....

\* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

18. CORRESPONDENCE ADDRESS

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Date

7-21-98

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ ) 625

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Ernest A. Voisin
Examiner Name	
Group / Art Unit	
Attorney Docket No.	V98-1054

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 11-0260  
Deposit Account Name Keaty & Keaty

☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	395
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$ )			395

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	11	66
Independent Claims	7	-3** = 4	41
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 82	202 41	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 82	209 41	** Reissue independent claims over original patent
110 22	210 11	** Reissue claims in excess of 20 and over original patent

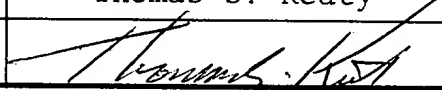
SUBTOTAL (2) (\$ ) 230

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ )			-0-

### SUBMITTED BY

Typed or Printed Name	Thomas S. Keaty	Complete (if applicable)	
Signature		Reg. Number	27,038
Date	7/21/98	Deposit Account User ID	

**A PROCESS OF ELIMINATION OF BACTERIA IN SHELLFISH,  
OF SHUCKING SHELLFISH AND AN APPARATUS THEREFOR**

**CROSS REFERENCE TO RELATED APPLICATIONS**

This application is based on my provisional applications Serial Nos. 60/071,819  
5 filed on January 20, 1998, 60/074,582 filed on February 13, 1998, and 60/086,484 filed  
on May 26, 1998, the full disclosures of which are incorporated herein.

**BACKGROUND OF THE INVENTION**

This application relates to a process of treatment of raw molluscan shellfish, and  
more particularly to a process for destroying bacteria in shellfish, such as for example  
10 oysters.

In recent years, considerable attention has been paid in the media to tragic results  
of consumption of raw oysters where the individuals became infected with life  
threatening pathogenic organisms. Such bacteria as *Vibrio Vulnificus* live in marine  
environment, especially in warm waters, usually higher than 25°C.

15 *Vibrio Vulnificus* has been isolated from estuarine and marine waters of the U.S.  
Gulf Coast, east coast, and west coast; it was also reported on other continents. The  
bacteria may transfer from water to the shellfish inhabiting the body of water, especially  
filter-feeding mollusks, where bacteria can multiply mostly in the gut region.

*Vibrio* is a genus of motile curved and rod-shaped Gram-negative bacteria. Other  
20 well-known vibrios are *Vibrio cholerae* and *Vibrio Parahaemolyticus*. *Vibrio*  
*Parahaemolyticus* is a common cause of gastroenteritis in some cultures, where  
consumption of food is particularly high, such as for example Japan.

Vibrio Vulnificus is a halophilic species, the strains of which are similar to Vibrio Parahaemolyticus and Vibrio alginolyticus. Vibrio Vulnificus thrives in warm waters. Ingesting uncooked or undercooked shellfish that contain vibrios, especially oysters, transmits it. After a brief incubation period, often as short as two hours, Vibrio Vulnificus causes septicemia and cellulitis. Physical symptoms include indigestion, cramps, nausea, vomiting, headache, weakness, fever and chills.

Usually, this food poisoning subsides spontaneously within two days. Occasionally, however, it is more severe. Persons with pre-existing hepatic disease or compromised immune system are especially in danger. Infection may occur not only through digestion of raw seafood but also from wound infection following exposure to seawater.

While fatal outcomes are extremely rare, the unfortunate events have been widely publicized, making the public aware of a potential life threatening exposure to the Vibriones. The fear of the bacteria poisoning is so high that the federal government issued a special warning advising the public of the potential dangers of raw oyster consumption. It has also been suggested that no harvesting of oysters be conducted during warm months in the Gulf of Mexico, so as to minimize the health risk associated with such food poisoning.

Public fear of the potential dangers associated with bacteria poisoning through raw oyster consumption adversely affected an important Louisiana industry - oyster harvesting. Market share of Gulf oysters shrunk, and many fishermen found that even

oysters harvested from safe beds are not in such a great demand as they used to be and that the price has fallen drastically.

Still, consumption of raw molluscan shellfish is so widespread in the South that many restaurants continue to carry raw oysters as part of their menu. Even though many restaurants post a warning sign of the possible danger to a segment of the public with liver or immune system disorders it rarely stops dedicated gourmands.

To prevent poisonous consumption of pathogenic organisms, various methods have been suggested for treating raw shellfish, for example with heat or irradiation, in an effort to eliminate or minimize the public health danger. For example, Patent No. 5,679,392 (the '392 patent) issued on October 21, 1997 for "Heat Treatment of Raw Molluscan Shellfish" discloses a method for preparing raw molluscan shellfish in the shell or out of the shell employing a mild heat treatment and cold storage.

According to the '392 patent, the shellfish placed in a polymer or metallized bag is lowered into a circulating bath of water at a temperature of between 120°F - 130°F for 30-45 minutes, after which time it is cooled in a cold water bath to a temperature between 28°F - 32°F. The product is then transferred to a cool water bath, where it is retained for 15-20 minutes and becomes ready for storage in a refrigerated state at 32°F - 34°F. The patent disclosure asserts that the mollusk remains in a raw state and in the shell throughout the process, while the number of pathogenic bacteria is reduced to an undetectable level.

While this process may be satisfactory for some products, it is believed that

heating of the shellfish will affect the sensory qualities of the product, making it less desirable for consumption as raw shellfish. Heat treatment as a means of controlling microorganisms and bacteria in food products results in diminished taste and reduced nutritional content. Therefore, elevated temperatures are considered unsatisfactory for processing of raw oysters where the purpose of the process is to retain sensory qualities of oysters and sell them on a half-shell.

Ionizing irradiation was tested as one of the methods of destroying harmful bacteria in live shellfish. However, this process is relatively expensive and has not yet obtained approval by the Federal Food and Drug Administration. Other known attempts to purify raw oysters involve depuration, wherein oysters are soaked in a tank of water for days at a time in an attempt to purge and cleanse the mollusk of the bacteria. So far, there have been no reports on the success of this method in destruction of bacteria in raw oysters.

Other suggested methods of destroying *Vibrio Vulnificus* involve cold, freezing, vacuum packaging, use of GRAS (diacetyl) compounds, suspension relaying into offshore water, and food condiment treatment. While some of these methods are relatively simple to implement, most of them have problems - either too expensive, ineffective, time consuming, or failed to receive FDA approval.

It was also suggested to refrigerate oysters immediately after harvesting at 7.2°C or less in an attempt to control multiplication of bacteria. However, cold treatment greatly reduces but does not eliminate bacteria present in oysters during harvesting for a storage

period considered normal for shucked or shell stock oysters.

Heat treatment, for example at 50°C for 10 minutes, kills the bacteria; this method is currently used on a commercial scale for live shell stock oysters. However, this method also kills the oysters. Additional drawback of this method is that it is difficult to control the temperature of commercial size batches when the size and shell thickness of oysters differ from batch to batch.

Vacuum packaging combined with freezing tends to reduce the level of *Vibrio Vulnificus*. However, this method is relatively expensive, reduces quality, so that the product treated in this manner may not find a wide acceptance with the public. When diacetyl, an FDA approved preservative was used on raw oysters, at levels of 0.05% or greater, it demonstrated decrease in the level of the bacteria, but did not guarantee complete elimination thereof.

When oysters were relayed into high salinity environment of offshore waters, *Vibrio Vulnificus* bacteria were reported to decrease to a level found in oysters normally harvested in more cold months, where no reported cases of food poisoning were recorded. However, oyster predators and parasites are a factor with this very expensive method. The use of UV light and micro-filtration treatment of seawater did not depurate the bacteria from oyster tissue.

Some studies examined the effect of Tabasco sauce on freshly shucked oysters. In about ten minutes, the level of *Vibrio Vulnificus* on the surface of tested oysters was significantly reduced, but the levels of the bacteria within the oyster meat remained

almost unaffected.

In recent years, a new technology has emerged - high pressure processing of foods. The leading manufacturer of high-pressure food processors is ABB Pressure Systems AB of Vasteras, Sweden and its affiliate, ABB Autoclave Systems, Inc. of Columbus, Ohio.

5 According to industry sources, this company makes equipment for processing of juices, fruits, vegetables, fruit-based beverages, jams, sauces, soups and meats. The company's literature claims that most bacteria in food can be killed by pressures in the range of 400 - 800 MPa (58,000 p.s.i. - 116,000 p.s.i.).

10 A Canadian company, GEC ALSTHOM, developed a cold pasteurizing system, which utilizes high hydrostatic pressure for processing thermal-sensitive packaged food products. According to that company's literature, pressure modifies cell membrane permeability of microorganisms. As a result, bacteria are inactivated or die. It is recommended that the treated products are kept chilled at 4°C in order to reduce bacteriologic risk. The process is said to triple shelf life of the products.

15 Other companies in Europe and the United States continue research in the high-pressure food processing area.

High pressure is said to be preferable to heat treatment because high pressure does not destroy many of the substances found in fresh foods, such as vitamins, chlorophyll and aroma substances. As a result, refrigerated shelf life of fruit and vegetable products, as well as high-acid products can be increased from several weeks to several months. Most importantly for the purposes of the present invention, high pressure treatment is

believed to increase food safety by reducing bacteria in the processed products, while retaining the products' nutritional value, color, flavor and texture.

The principle of cold isostatic (uniformly applied) pressure processing is relatively simple – food is placed in a container and is surrounded by a pressure medium, usually water. An external pressure intensifier to a pre-determined value pressurizes the vessel. Pressure is fed into the pressure vessel where food products have been deposited. Pressure in such a vessel is distributed evenly through all parts of the product, thereby preventing mechanical damage of delicate food products. The process may be conducted with no or minimal heat treatment.

Another problem that the present invention addresses is mechanical shucking of oysters. Seafood processing plants employ skillful workers for the preparation of oysters for packaging in jars and other containers for sale to the customers. Restaurants that serve raw oysters also employ special personnel for shucking oysters before serving the delicacy on a half shell.

The process of oyster shucking involves cutting of the connective tissue of oyster adductor muscle that is normally attached to the shell and keeps the shell halves tightly closed. More experienced workers perform this task relatively well, while novices can damage the product and cut through the body of the oyster, thereby reducing the quality and increasing the cost.

At present, the applicant is not aware of any commercial utilized method of mechanical shucking of oysters. It is estimated that about 80% of the cost of a shucked

oyster are due to the labor-intensive hand-shucking process.

The present invention contemplates elimination of drawbacks associated with the prior art and reduction or elimination of harmful bacteria in raw shellfish, as well as shucking of oysters without any substantial affect on the sensory qualities of raw shellfish. Additionally, a new method of fabricating the yoke and chamber of high pressure equipment is disclosed.

### **SUMMARY OF THE INVENTION**

It is, therefore, an object of the present invention to provide a process for reducing or elimination of pathogenic organisms from raw molluscan shellfish, such as oysters, clams, and mussels.

It is another object of the present invention to provide a method of reducing harmful bacteria in raw shellfish without substantially affecting its sensory qualities.

A further object of the present invention is to provide a method of shucking oysters and other shellfish such as clams and mussels that does not involve manual cutting of the oyster muscle.

Still another object of the present invention is to provide an apparatus for high pressure processing of shellfish, such as oysters, clams and mussels.

These and other objects of the present invention are achieved through a provision of a process that includes high-pressure treatment of raw shellfish, the process comprising the step of exposing the shellfish to relatively high hydrostatic pressure. The process is conducted at ambient temperatures, exposing the molluscan shellfish to the liquid

pressure of between 20,000 p.s.i. to 80,000 p.s.i. for 1-15 minutes.

As a result of the high-pressure treatment, pathogenic organisms, such as bacteria *Vibrio* *Vulnificus* are destroyed without substantially adversely affecting the sensory qualities of the shellfish. At the same time, the connective tissues of oyster adductor muscle holding the two oyster shell halves is separated from the shells, and the oyster shells open without any manual cutting of the muscle.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Reference will now be made to the drawings, wherein like parts are designated by like numerals, and wherein Figure 1 is a schematic side view of high pressure processor for practicing the process of the present invention.

Figure 2 is a top view of the processor shown in Figure 1; and

Figure 3 is detail cross sectional view of the inner liner with top and bottom lids and pressure containing seals.

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

The new process for the treatment of raw molluscan shellfish according to the present invention will now be described in more detail. According to this process, raw shellfish, such as oysters, clams and mussels are treated in a high-pressure environment with no application of heat, at substantially ambient temperatures.

The non-thermal method of food preservation, high pressure processing, has been known in use in relation to meat product, fruits, and other products. However, no attempts have been known so far to treat such fragile, easily damaged products as raw

shellfish. Traditionally, shellfish, such as crab, crawfish and oyster deteriorate in quality immediately upon death. For this reason, they are usually shipped either live, at considerable expense in refrigerated containers, or fresh frozen.

None of these approaches could be applied to insure bacteria-free raw shellfish that would satisfy public demand for raw oysters eaten on a half shell. Bearing in mind the importance of regaining markets and consumer confidence in Gulf oysters, fishermen are looking for feasible methods of processing shellfish without destroying its nutritional and sensory values.

According to the present invention, molluscan shellfish, for example, shellstock oysters, are individually tied with a flexible band, for example a rubber band, and placed in a pressure vessel that contains a pressure transmitting fluid, for example, water. If desired, the shellfish can be prepackaged in pouches and then loaded into the pressure vessel. The vessel is then closed and pressurized to between about 20,000 p.s.i to 50,000 p.s.i. for 1-15 minutes.

During experimental tests, it was observed that the higher the pressure, the less time it takes to destroy bacteria, such as *Vibrio Vulnificus* in raw oysters. For example, when shellstock oysters were treated at hydrostatic pressure of 50,000 p.s.i. for 5 minutes, seeded bacteria *Vibrio Vulnificus* was reduced from 24,000 MPN/g to 0 MPN/g (here, MPN stands for Most Probable Number).

During processing, the pressurized liquid remained at ambient temperature while the pressure was transmitted uniformly to the product inside the pressure vessel. The

resultant product did not significantly change in volume and no mechanical damage was observed to the delicate food product.

During experimental tests, it was determined that treatment of the product at hydrostatic pressure of 50,000 p.s.i. for 5 minutes achieved the desired result with all of the treated shellfish. It is envisioned, however, that under certain conditions, the shellfish can be treated at even higher or lower pressures. The increase in temperature during the treatment was minimal, about 3°C per 14,500 p.s.i.; it did not depend on the size of the processed product. The temperature decreased as soon as the pressure application was terminated.

Some experiments showed that preferred pressures are in the range of 45,000 p.s.i., as pressures lower than 45,000 p.s.i. could lead to reversible denaturing of the treated product, and thereby adversely not achieve the desired results.

It is further envisioned that other types of bacteria, in addition to *Vibrio Vulnificus* can be eliminated or substantially reduced in raw shellfish with the use of the present invention, therefore increasing the shelf life of a product.

During experiments with raw oysters, an unexpected phenomenon was observed – the oyster adductor muscle connective tissue attachment at the shell denatured to gel formation at pressure as low as 20,000 p.s.i. and treatment time of 15 minutes. First, it was observed that a gap developed between the shell halves. When the shell halves were pried open, the oyster easily slid out of the shell in perfect condition. No mechanical cutting was necessary. The denaturing of muscle proteins, including actin and myosin and

connective tissues to a gelatin transition is a result of disruption of non-covalent interactions in tertiary protein structures. The potential commercial utilization of this chemical denaturing for mechanical shucking of live shell stock oysters has not been previously tested or observed.

5 To prevent escape of water or “bleeding” of oysters during the high pressure treatment, oysters need to be mechanically banded, for example with a flexible band, such as a rubber band, before placement in the processing vessel. The band keeps the shell halves tightly closed, thereby helping in preservation of natural condition of raw oysters that can be later served on a half shell. The processed oysters can be shipped to customers with the bands attached, and the consumer will then only need to remove the band to open the oysters. Oysters that will be immediately shucked do not need to be banded.

10 Turning now to the drawings in more detail, numeral 10 designates a high-pressure processor used in the present invention. The processor 10 comprises an enclosure 12 made of steel or stainless steel. The enclosure 12 has a bottom plate 14 and four vertical sidewalls (only two opposing sidewalls 16 and 18 are shown in Figure 1).

15 The enclosure 12 can be mounted in a cavity 20 formed below a floor level 22. Concrete walls reinforced with rebars, if necessary can define the cavity 20. The walls and floor defining the cavity 20 may be 12” or more in thickness. At the least a lower portion of the enclosure 12 is housed within the cavity 20.

20 A first pressure vessel 30 is secured on the wall 16 of the enclosure 12 by

attachment brackets 32. The brackets 32 are vertically spaced from each other and can be three or more in number. The vessel 30 has vertical walls 34a, 34b, 34c, and 34d (see Figure 2), a bottom lid 36 and a top lid 38 with four vertical corner bars 39 (Figure 2).

A continuous cylindrical liner 40 is mounted inside the vessel 30, the liner 40 extending from a level adjacent the bottom lid 36 to the upper edge of the vessel 30. The liner 40 defines a pressure chamber 42 inside the vessel 30. Horizontal slabs 44 surround the vertical liner 40, and a safety plate 46 is secured on the exterior of the vessel 30, extending along the wall 34b, as can be better seen in Figure 2. The slabs 44 can be made of high tensile steel or other high-tensile material to help contain the high pressure created in the chamber 42. The top lid 38 and the bottom lid 36 have central portions, 37 and 35, respectively, that extend into the cylindrical liner 40, as can be better seen in Figure 3. Pressure containing seals 39 are positioned in a circumferential relationship about the portions 35 and 37 between the liner 40 and the central portions 35, 37.

A second pressure vessel 50 is securely attached on the opposite wall 18. The second pressure vessel 50 is similar to the first pressure vessel 30 in all respects, as it comprises a cylindrical inner liner 52 surrounded by a high-tensile "envelope" 54 placed in the vessel 50. The envelope 54, similar to the slabs 44 can be made of a plurality of smaller high tensile steel slabs. A plurality of attachment brackets 56 secures the vessel 50 on the wall 18. A top lid 58 covers the open top of the vessel 50 and a bottom lid 59 covers the bottom of the vessel 50. The top lid 58 and the bottom lid 59 have central portions, similar to portions 35, 37 of the vessel 30, that extend into the liner 52 where

the pressure containing seals 39 are located.

Each bottom lid 36 and 59 is provided with inlet/outlet opening to allow pressure medium fluid to move in and out of the pressure chamber 42 and 51. As can be seen in Figure 3, the central portion 35 of the lid 36 has a central fluid channel 80 that extends to about midway of the lid 36.

A second channel 82 fluidly communicates with the first channel 80 to allow egress and ingress of pressure fluid. The second channel 82 is formed at about a right angle to the first channel 80 extending from the center of the lid 36 in a parallel relationship to the general plane of the lid 36 and in a transverse relationship to a vertical axis of the liner 40. The channels 80 and 82 connect the interior of the pressure chamber 42 with an exterior thereof. The lid 59 of the pressure vessel 50 is provided with an identical inlet/outlet port connecting the pressure chamber 51 with an exterior thereof.

Extending between the opposing walls 16 and 18 is a pair of rails 60, 62. A pressure holding and safety yoke 64 slides on the rails between the first pressure vessel 30 and the second pressure vessel 50. The yoke 64 is mounted on wheels 66, which are secured at bottom corners of the yoke frame. A bottom plate 68 is secured above a bottom part 67 of the yoke 64, and a top plate 70 is secured below a top part 71 of the yoke 64. The space between the upper plate 70 and the bottom plate 68 is large enough to accommodate the pressure vessel 30 or 50. The purpose of the bottom plate 68 and the top plate 70 is to provide extra strength to the yoke 64.

The yoke 64 further comprises two vertical walls 74 and 76 secured in parallel

relationship between the upper part 71 and the bottom part 67 of the yoke 64. As a result, a rectangular frame structure is defined by the yoke walls, bottom and upper parts. In use, the yoke 64 surrounds the pressure vessel 30 or 50 on opposite, unprotected walls 34a and 34c and provides extra protection when high pressure is applied. The primary  
5 function of the yoke 64 is to hold the lids 58 and 59 of the vessel 50 and the lids 36, 38 of the vessel 30 in place when high pressure is applied.

The top plate 70 covers the lids 38,58, while the bottom plate 68 extends below the bottom lids 36 and 59 of the vessels 30 and 50, respectively. The yoke walls 74 and 76 and the upper part 71 and the bottom part 67 can be formed from a plurality of high  
10 tensile slabs secured together by four bolts. A top safety shield 72 provides extra protection during operation.

In operation, raw shellfish, such as an oyster is individually banded to prevent escape of water from the shell when the oyster is removed from the chamber. The oysters are placed in a basket for handing purposes. Oysters can also be placed in flexible bag  
15 filled with water prior to being inserted into the pressure chambers of vessels 30 and 50. The pressure chamber is filled with pressure medium, such as water. The basket is then inserted into the pressure chamber of a vessel 30 or 50, and the lids 38, or 58, respectively, are closed. The yoke 64 is rolled toward the loaded vessel and secured in place by a conventional stop to assure positioning during a high-pressure application.

20 An external pressure source is used for supplying pressure to the pressure chamber of the vessel, where the oysters have been deposited. According to Pascal's Law, this

hydrostatic pressure has a uniform effect on all materials inside the pressure vessel. Equally distributed pressure affects the oyster meat inside the shells and eliminates *Vibrio Vulnificus* bacteria from the oyster meat without any mechanical damage to raw oysters.

5           Oyster meat has a high water content, which makes high-pressure treatment a particularly advantageous type of processing for raw oysters. At the same time, the adductor muscle detaches from the shell, and shell halves are ready to separate, when necessary, for serving oysters on half shell, or for easy shucking of oysters.

10           While the oysters are processed, the pressure in the chambers 42 or 51 is rapidly elevated to between 20, 000 p.s.i. and 50, 000 p.s.i. The pressure is retained for about one to fifteen minutes, depending on the selected pressure value. For lower pressures, the time of treatment is greater, while higher pressure requires less treatment time. The pathogenic microorganisms are eliminated, while nutritional value and sensory qualities of raw oysters are not affected.

15           Experiments conducted with raw oysters demonstrated that *Vibrio Vulnificus* bacteria were eliminated at every test run with any size oysters when pressure was in the range of 45,000 p.s.i. and treatment time was about 5 minutes. Shucking of oysters occurs at much lower pressures, about 20,000 p.s.i., when oysters are treated for 15 minutes. Therefore, it is envisioned that for processes not requiring bacteria elimination, but only  
20           shucking, the vessels 30 and 50 can be run at lower pressures.

Once the batch in the loaded vessel has been treated for the pre-determined period

of time, the yoke 64 is rolled away from that vessel and engaged with the second vessel. While the product is processed in the second vessel 50, the treated product from the first vessel 30 can be unloaded, and a new batch of products deposited therein. The single yoke 64 serves as a pressure holding and safety device for two separate pressure vessels 30 and 50.

The method in accordance with the present invention does not cause thermal damage, as the process is conducted at ambient temperatures. At the same time, no mechanical damage to the delicate oyster meat occurs, as the shell protects the oyster from any contact with the mechanical parts of the pressure vessels.

The method of the present invention has minimal impact on the environment. Cooling water can be recycled through the use of conventional equipment. Conventional electromechanical systems can be used to generate high pressure inside the pressure vessels 30 and 50.

It is envisioned that various types of molluscan shellfish, such as clams, muscles, abalone, and others can be processed with the method of the present invention without mechanical damage or deterioration of sensory properties of the product. Once the bacteria are eliminated, the product can be shipped for consumption in a raw state. It is believed that the process of the present invention can be implemented for elimination of other bacteria, in addition to *Vibrio Vulnificus*.

The shellfish can be harvested even in warm months, and the public will be assured of the product safety. Naturally contaminated Gulf Coast oysters that can contain

thousands of harmful *Vibrio Vulnificus* bacteria can be successfully processed with the apparatus and method of the present invention.

Other seafood items can be similarly processed with the method and apparatus of the present invention. If desired, oysters and other products to be treated in the system of the present invention can be placed in baskets in a refrigerator/cooler, so as to prevent bacteria multiplication, while the products wait their turn in the pressure vessels. After processing, the shellfish should be placed in a cooler as soon as possible. The processed shellfish should be preferably kept at between 32°F and 36°F until it is sold and consumed by a customer.

The external source of pressure can be any conventional pressurizing means, such as hydraulic motor, electrical motor and the like. Other means for building up pressure in the pressure chambers can be successfully employed without affecting the advantages afforded through the practice of the present invention.

Many changes and modifications can be made in the process of this invention without departing from the spirit thereof. I, therefore, pray that my rights to this invention be limited only by the scope of the appended claims.

## **IN THE CLAIMS:**

1. A method of eliminating pathogenic organisms in raw shellfish, comprising:  
exposing raw shellfish to hydrostatic pressure at ambient temperature for a period  
of time sufficient to cause destruction of the pathogenic organisms without  
substantially affecting sensory characteristics of said raw shellfish.
2. The method of claim 1, wherein said raw shellfish is exposed to hydrostatic  
pressure of between about 20,000 p.s.i. to about 50,000 p.s.i. for 1-15 minutes.
3. A process of destroying bacteria in raw molluscan shellfish, comprising the steps  
of:  
providing a pressure vessel;  
depositing said shellfish into said pressure vessel;  
loading a pressure transmitting liquid into said pressure vessel;  
pressurizing said pressure vessel to between about 20,000 p.s.i. and 50,000 p.s.i.,  
thereby causing destruction of said bacteria, while retaining sensory characteristics  
of said shellfish.
4. The process of Claim 3, wherein said raw shellfish is exposed to isostatic pressure  
for 1-15 minutes.
5. A raw shellfish treated in accordance with the process of Claim 3.
6. A process of treating raw molluscan shellfish, which comprises:  
exposing said shellfish to a hydrostatic pressure of between 20,000 p.s.i. to 50,000  
p.s.i. for 1-15 minutes at ambient temperature, thereby destroying pathogenic

organisms in said raw shellfish.

7. The process of Claim 6, wherein said shellfish is enclosed in liquid-impermeable bags filled with pressurizable liquid prior to exposing said shellfish to hydrostatic pressure.
8. A method of shucking a raw oyster, comprising the steps of:  
exposing said oyster to hydrostatic pressure sufficient to cause detachment of an adductor muscle from a shell of said oyster and opening of the oyster shell.
9. The method of Claim 8, wherein said oyster is exposed to hydrostatic pressure of at least 20,000 p.s.i. for 15 minutes.
10. The process of Claim 8, wherein a flexible detachable band is wrapped around said oyster shell prior to exposing said oyster to hydrostatic pressure.
11. A process of shucking oysters, comprising the steps of:  
wrapping a flexible detachable band around individual oysters;  
positioning said oysters in a pressure vessel;  
applying hydrostatic pressure to said oysters in the pressure vessel for a time sufficient to cause detachment of an adductor muscle from shells of said oysters;  
removing said bands from said oysters and opening said oysters without cutting said adductor muscles.
12. The process of Claim 11, wherein said oysters are exposed to hydrostatic pressure of at least 20,000 p.s.i. for a period of about 15 minutes.
13. The process of Claim 11, wherein said oysters are positioned in flexible liquid-

impermeable bags filled with pressurizable liquid prior to exposing said oysters to hydrostatic pressure.

14. An apparatus for processing raw food products, comprising:

a pressure vessel having a bottom plate, vertically extending side walls and a detachable lid;

a liner positioned inside said pressure vessel, said liner defining a pressure chamber for receiving said raw food products, said pressure chamber being adapted for pressurization by an external source of pressure;

retaining members positioned in said pressure vessel around said liner, said retaining members being formed from a high-tensile steel;

a pressure-holding and safety yoke being adapted for positioning around said pressure vessel when said pressure chamber is pressurized.

15. The apparatus of Claim 14, wherein said retaining member is comprised of a plurality of high-tensile steel slabs sized and shaped to conform to exterior walls of said liner.

16. The apparatus of Claim 14, further comprising a means for mounting said vessel on a vertical surface in an elevated position relative to a horizontal surface.

17. The apparatus of Claim 16, further comprising a safety plate secured on a side wall of said pressure vessel opposite said mounting means.

18. The apparatus of Claim 16, wherein said mounting means comprises a plurality of brackets fixedly attached to the exterior wall of said pressure vessel.

19. The apparatus of Claim 14, wherein said yoke is mounted on wheels to facilitate movement of said yoke in relation to said pressure vessel.
20. The apparatus of Claim 19, further comprising a means for aligning said yoke when said yoke moves in relation to said pressure vessel.
21. The apparatus of Claim 20, wherein said aligning means comprises a pair of rail guides, and wherein said wheels engage in said rail guides.
22. The apparatus of Claim 14, wherein said yoke is sized and shaped to cover said bottom, said top and at least a part of said side wall of said pressure vessel.
23. An apparatus for processing raw shellfish to eliminate bacteria in said raw shellfish, said apparatus comprising:
  - a first pressure vessel, a second pressure vessel, said pressure vessels being adapted to receive said raw shellfish therein, each of said pressure vessels comprising a pressure chamber for receiving a pressure transmitting liquid therein and for creating a pressure of between 20,000 p.s.i. and 80,000 p.s.i.;
  - a pressure holding and safety yoke movable between said first pressure vessel and said second pressure vessel for selectively holding pressure and protecting said first pressure vessel and said second pressure vessel, when said raw shellfish is loaded in any of said pressure for pressure processing, said yoke holding lids of said pressure vessels during a pressure-application cycle.
24. The apparatus of Claim 23, wherein each of said pressure vessels comprises a liner for defining a pressure chamber and retaining members mounted in said pressure

vessel around said liner.

25. The apparatus of Claim 24, wherein said retaining members are formed from high tensile steel slabs.
26. The apparatus of Claim 24, wherein said retaining members comprise a plurality of high tensile steel slabs secured together to conform to the size and shape of an interior wall of said pressure vessel and an exterior wall of said liner.

## **ABSTRACT OF THE DISCLOSURE**

The invention relates to a process for eliminating pathogenic organisms from raw food products, such as raw shellfish, whereby the molluscan shellfish is exposed to hydrostatic pressure of relatively high value, for example between 20,000 p.s.i. to 50,000 p.s.i. for 1-15 minutes. The process is conducted at ambient temperatures, leaving the raw shellfish substantially unaffected, in its desired raw state, such that the pathogenic organisms are destroyed, while sensory characteristics of the raw shellfish remain high. The same process can be used for shucking oysters without any mechanical force. A high pressure processor for elimination of bacteria in raw food products is disclosed.

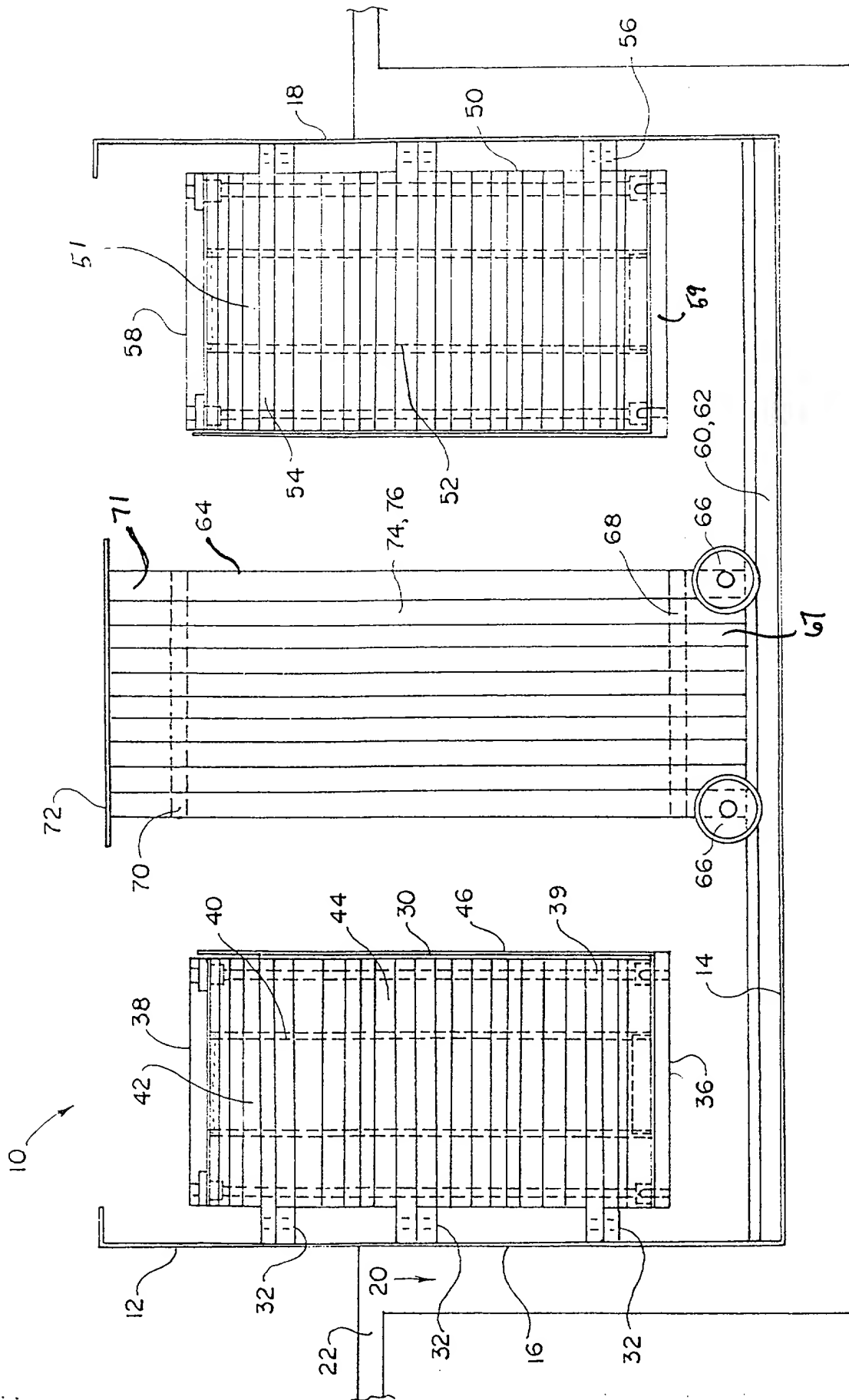


FIG. 10

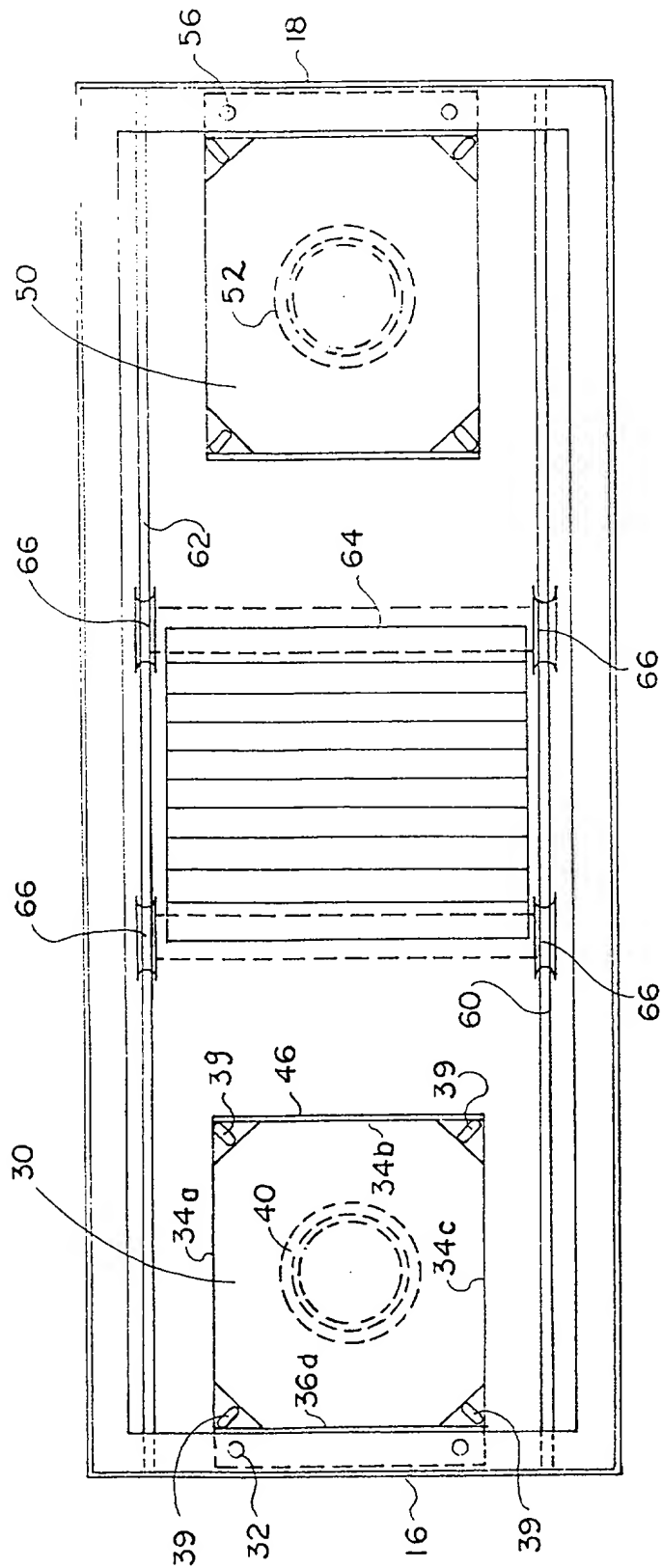


FIG. 2

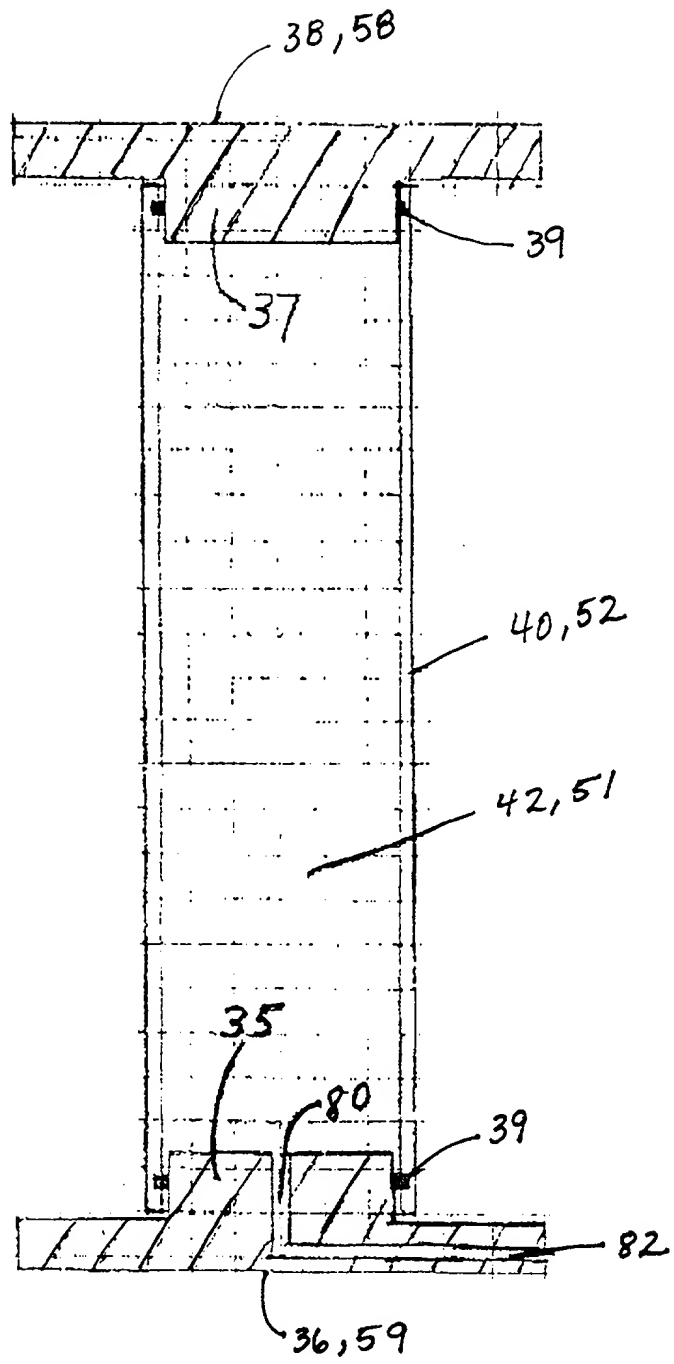


Figure 3

**DECLARATION  
of  
SOLE INVENTORSHIP<sup>1</sup>**

I, Ernest A. Voisin, hereby declare that this Declaration is being submitted with my patent application on A PROCESS OF ELIMINATION OF BACTERIA IN SHELLFISH, OF SHUCKING SHELLFISH AND AN APPARATUS THEREFOR. My residence address is at 412 Palm Avenue, Houma, Louisiana, 70364. I am a citizen of the United States of America.

I believe that I am the **original and sole inventor** of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"A PROCESS OF ELIMINATION OF BACTERIA IN SHELLFISH,  
OF SHUCKING SHELLFISH AND AN APPARATUS THEREFOR"**

I hereby state that my patent application including a specification and claims is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims. I acknowledge the duty to disclose to the Patent Office all information which is material to patentability and to deal in candor and good faith with the Patent Office.

I hereby do not claim the benefits of any prior application either a prior United States Patent Application, prior PCT international application, prior foreign application or inventor's certificate, or any prior United States Provisional application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

 7-16-98  
Ernest A. Voisin                      Date

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<sup>1</sup> In accordance with 37 CFR §1.56

**VERIFIED STATEMENT  
of  
INDEPENDENT INVENTOR<sup>1</sup>**

I, Ernest A. Voisin hereby declare that I qualify as an independent inventor for purposes of paying reduced fees, as allowed by statute, to the Patent and Trademark Office with regard to the invention entitled "A Process of Elimination of Bacteria in Shellfish, of Shucking Shellfish and an Apparatus Therefor" described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor or to any concern which would not qualify as a small business concern whose employees do not exceed 500 or would not qualify as a nonprofit organization or university or institution of higher education.

I have not assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to independent inventor or small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

  
Ernest A. Voisin                      7-16-98  
Date

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<sup>1</sup> I am an Independent Inventor or Small Entity as defined by 37 CFR 1.9

**POWER OF ATTORNEY**

*to*

**KEATY PROFESSIONAL LAW CORPORATION**

I, Ernest A. Voisin, 412 Palm Avenue, Houma, Louisiana, 70364, as the named and sole inventor, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"A PROCESS OF ELIMINATION OF BACTERIA IN SHELLFISH,  
OF SHUCKING SHELLFISH AND AN APPARATUS THEREFOR"**

in the specification which is attached hereto, hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

***KEATY Professional Law Corporation***

Thomas S. Keaty 27,038  
2 Canal Street  
2140 World Trade Center  
New Orleans, Louisiana 70130  
504/524-2100 - phone  
504/524-2105 - fax

All correspondence regarding the above referenced application is to be directed to the attorney(s) at the above address.

  
Ernest A. Voisin      Date